



Contact Officer:
Sharon Thomas 01352 702324
sharon.b.thomas@flintshire.gov.uk

To: Cllr Chris Dolphin (Chairman)

Councillors: Janet Axworthy, Geoff Collett, Andy Dunbobbin, Patrick Heesom,
Paul Johnson and Arnold Woolley

Co-opted Members:

Sally Ellis and Allan Rainford

18 March 2021

Dear Sir/Madam

NOTICE OF REMOTE MEETING
AUDIT COMMITTEE
WEDNESDAY, 24TH MARCH, 2021 at 10.00 AM

*** This agenda is subject to restrictions on content due to the Election Period
which runs from Monday 22 March to Sunday 9 May 2021.**

Yours faithfully

Robert Robins
Democratic Services Manager

Please note: This will be a remote meeting and 'attendance' will be restricted to Committee Members and those Members of Council who have asked the Head of Democratic Services for an invitation. Such attendees may only speak at the Chair's discretion.

A recording of the meeting will be available to view on line within 48 hours of the meeting at <https://flintshire.public-i.tv/core/portal/webcasts>

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

A G E N D A

1 **APOLOGIES**

Purpose: To receive any apologies.

2 **DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

Purpose: To receive any Declarations and advise Members accordingly.

3 **MINUTES** (Pages 5 - 12)

Purpose: To confirm as a correct record the minutes of the meeting on 27 January 2021.

4 **QUARTER 4 TREASURY MANAGEMENT UPDATE 2020/21** (Pages 13 - 26)

Report of Corporate Finance Manager - Cabinet Member for Finance

Purpose: To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2021.

5 **RISK MANAGEMENT UPDATE** (Pages 27 - 32)

Report of Chief Executive - Leader of the Council and Cabinet Member for Education

Purpose: To endorse the Risk Escalation Protocol within the risk management framework.

6 **AUDIT WALES - AUDIT PLAN 2021** (Pages 33 - 54)

Report of Chief Executive, Corporate Finance Manager -

Purpose: To review the Audit Wales - Audit Plan 2021 for the Council which sets out the proposed audit work for the year along with timescales, costs and the audit teams responsible for carrying out the work.

7 **EXTERNAL REGULATION ASSURANCE** (Pages 55 - 76)

Report of Chief Executive -

Purpose: To endorse the summary of all external regulatory reports received during 2019/20 along with the Council's responses.

8 REVIEW OF THE COUNCIL'S CONSTITUTION / AUDIT COMMITTEE'S TERMS OF REFERENCE (Pages 77 - 90)

Report of Internal Audit Manager -

Purpose: To seek Audit Committee approval to amend the name of the Council's Audit Committee and to include new functions to the current Terms of Reference of the renamed Committee.

9 INTERNAL AUDIT STRATEGIC PLAN (Pages 91 - 110)

Report of Internal Audit Manager -

Purpose: To present the proposed Internal Audit Plan for the three year period 2021/22 to 2023/24 for Members' consideration.

10 PUBLIC SECTOR INTERNAL AUDIT STANDARDS (Pages 111 - 170)

Report of Internal Audit Manager -

Purpose: To inform the Committee of the results of the annual internal assessment of conformance with the Public Sector Internal Audit Standards (PSIAS).

11 INTERNAL AUDIT PROGRESS REPORT (Pages 171 - 216)

Report of Internal Audit Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

12 AUDIT COMMITTEE ACTION TRACKING (Pages 217 - 222)

Report of Internal Audit Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

13 FORWARD WORK PROGRAMME (Pages 223 - 230)

Report of Internal Audit Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

Please note that there may be a 10 minute adjournment of this meeting if it lasts longer than two hours